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APPLICANT(S): ZOFCHAK et al.  
SERIAL NO.: 09/933,108  
FILED: August 20, 2001  
FOR: Polymeric Urethane Ester Quats and their Use in Personal Care Compositions  
GROUP ART UNIT: 1616  
EXAMINER: LAMM, Marina

Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

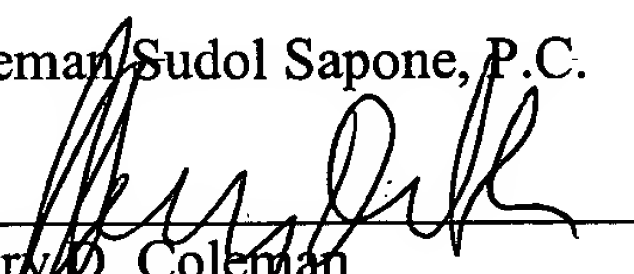
SIR:

Pursuant to the Duty to Disclose under 37 C.F.R. §1.56(a), applicant encloses herewith a copy of Form PTO-1449 listing patent and other documents relevant to the background of the invention described and claimed in the above-identified application. Copies of the listed documents are not enclosed.

Applicant respectfully requests that the Examiner consider the enclosed references in determining the patentability of the claimed invention. Applicant also requests that the Examiner return a copy of enclosed Form PTO-1449 with initials or other marks indicating that the references have been so considered. If any fee is due, please charge/credit deposit account no. 04-0838.

Respectfully submitted,

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Dated: December 17, 2002

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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: "Commissioner for Patents, Washington, D.C. 20231" on December 17, 2002.

*Harold Hull*

Harold Hull